

TPA Services Matrix

Contact: Office Address: Website Address:	TPA Name	TPA Name	TPA Name	TPA Name
Services Provided				
Plan Design In Compliance with IRS Regulations				
On-Going Plan Design Review to ensure Compliance with IRS Regulations				
Investment Policy Statement Design/Modification				
IRS Audit Representation				
Common Remitter Service				
Ongoing Consulting (e.g., routine administrative questions)				
Compliance Services				
Contribution Limitation Testing Including Aggregation				
Participation Loan Process Administered				
Loan Payment Default Notification provided				
Hardship Payments Monitored & Approved				
Required Minimum Distributions Monitored				
Annual Universal Notification Provided				
Annual Reconciliation of Deposits Against Investment House Reports				
Website Access/Content				
Relevant Plan Information Available				
Data Available:				
Maximum Available Loan				
Amount Available for Hardship Withdrawal				
Year-to-Date Contributions				
Year-to-Date Distributions				
Forms Accessed & Downloaded				
Loan Request Available				
Applicable for Hardship Withdrawal Available				
403(b) Education Materials Made Available to Inquiring Plan Participants				

TPA Services Matrix

	TPA Name	TPA Name	TPA Name	TPA Name
Contact:				
Office Address:				
Website Address:				
Provide Program Education Materials to Plan Participants				
Vendor Neutral On-Line Video Made Available				
In-Person Benefit Fairs Provided				
Plan Overview Booklets				
Enrollment Kits				
Retirement Workshops Provides				
Regular Newsletters				
Experience				
Years In Existence				
Number of Plan Participants Currently Serviced				
Number of Employees Dedicated to Operations				
Best Suited to Which Size School District				
Fee Structure				
One-Time Audit Fee-At Contract Signing OPTIONAL (Note2)&(Note 2A)				
One-Time Plan Installation Fee (Note 1)				
One-Time Employee Data Collection Fee (Note 1)				
Option #1 Pricing (Note 1)				
Per ELIGIBLE Employee				
Per CONTRIBUTING Employee (Note 5)				
Option #2 Pricing (Note 1)				
Per ELIGIBLE Employee				
Per CONTRIBUTING Employee				